

**RELATIVE HYPERBOLIZATION AND  
ASPHERICAL BORDISMS:  
AN ADDENDUM TO  
“HYPERBOLIZATION OF POLYHEDRA”**

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**Abstract**

We give two versions of relative hyperbolization. We use the first version to prove that if (each component of) a closed manifold  $M$  is aspherical and if  $M$  is a boundary, then it is the boundary of an aspherical manifold.

**1. Introduction**

In [2, p. 116], Gromov introduced the notion of hyperbolization: It is a procedure for associating to a finite dimensional simplicial complex  $X$  a certain nonpositively curved polyhedron  $H(X)$ . A few pages later [2, pp. 117–118], he discusses the idea of relative hyperbolization: given a subcomplex  $Y$  of  $X$ , it should produce a new space  $H(X, Y)$  which contains  $Y$  as a subspace. One of the key properties of such a procedure should be the following:

- (\*) If (each component of)  $Y$  is aspherical, then so is the relative hyperbolization  $H(X, Y)$ .

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Gromov points out that it follows from the existence of such a relative hyperbolization procedure that:

- Any (triangulable) closed manifold  $M$  is bordant to an aspherical manifold.
- If a closed aspherical manifold  $M$  bounds a (triangulable) manifold, then it bounds an aspherical manifold.

The proof of the second claim uses property  $(*)$ , but the proof of the first does not. Unfortunately, the details of Gromov's definition of a relative version of hyperbolization did not quite make sense. In [1, Section 1g], the first two authors described a different version of relative hyperbolization (here denoted by  $K(X, Y)$ ) and used it to demonstrate Gromov's first claim, cf. [1, Example 1g.1]. However, they did not know how to prove that their version satisfied property  $(*)$ . In fact, it does (as does the simpler version of relative hyperbolization,  $J(X, Y)$ , defined in Section 2). Our purpose here is to prove that both these relative hyperbolization procedures satisfy  $(*)$  (Theorems 2.5 and 3.2) and to prove Gromov's second claim, which is stated as the following theorem (and is proved in Section 2).

**Theorem 1.1.** *Suppose that each component of a closed manifold  $M$  is aspherical and that  $M$  is the boundary of a (triangulable) manifold. Then  $M$  bounds an aspherical manifold.*

Gromov defined several hyperbolization procedures in [2]. The specific one which we want to relativize is discussed in [1, Section 4c]. It works as follows. Given a finite dimensional simplicial complex  $X$ , there is a new polyhedron  $H(X)$ , called a *hyperbolization* of  $X$ , together with a map  $c : H(X) \rightarrow X$ . Some important properties of the construction are listed below. (Proofs of these properties can be found in [1].)

- (1)  $H(X)$  is a nonpositively curved cubical cell complex (and hence, is aspherical).
- (2) The construction is functorial in the sense that if  $i : Y \rightarrow X$  is a simplicial embedding, then there is an induced isometric embedding  $H(i) : H(Y) \rightarrow H(X)$ .
- (3) The link of a vertex in  $H(X)$  is isomorphic to a subdivision of the link of the corresponding vertex in  $X$ .

- (4) The map  $c : H(X) \rightarrow X$  induces surjections on integral homology groups and on fundamental groups.
- (5) If  $X$  is an  $n$ -manifold, then so is  $H(X)$ . If  $X$  is a smooth triangulation of a smooth manifold, then  $H(X)$  is a smooth manifold. Moreover,  $c : H(X) \rightarrow X$  pulls back the stable tangent bundle of  $X$  to that of  $H(X)$ .

## 2. Relative hyperbolization

Suppose  $Y$  is a subcomplex of  $X$  and that  $\{Y_i\}$  is the set of path components of  $Y$ . Let  $X \cup CY$  denote the simplicial complex formed by attaching to  $X$  the cone on each  $Y_i$ . Let  $y_i$  denote the cone point corresponding to  $Y_i$  in the hyperbolization  $H(X \cup CY)$  of  $X \cup CY$  and let  $L_i$  denote the link of  $y_i$  in  $H(X \cup CY)$ . Then  $L_i$  is identified with a subdivision of  $Y_i$ . The *relative hyperbolization of  $X$  with respect to  $Y$*  is defined to be the space  $J(X, Y)$  formed by removing a small open conical neighborhood of each  $y_i$  from  $H(X \cup CY)$ . Since the boundary of such a neighborhood is  $L_i (= Y_i)$ ,  $Y$  is identified with a subspace of  $J(X, Y)$ .

**Remark 2.1.** If  $X$  is a manifold with boundary and  $Y$  is a union of boundary components, then  $J(X, Y)$  is also a manifold with boundary and  $Y$  is identified with a union of its boundary components. This gives the proof of Gromov's first claim: for any closed manifold  $M$ ,  $J(M \times [0, 1], M \times 1)$  is a bordism between  $M$  and  $H(M)$ .

Let  $\bar{H}(X \cup CY)$  denote the universal cover of  $H(X \cup CY)$  and let  $\bar{J}(X, Y)$  denote the inverse image of  $J(X, Y)$  in  $\bar{H}(X \cup CY)$ .

**Lemma 2.2.** *Let  $\bar{L}_i$  be the link of any cone point  $\bar{y}_i$  in  $\bar{H}(X \cup CY)$ . Then  $\bar{J}(X, Y)$  retracts onto  $\bar{L}_i$ . Hence,  $\pi_1(\bar{L}_i) \rightarrow \pi_1(\bar{J}(X, Y))$  is an injection.*

*Proof.* Since  $\bar{H}(X \cup CY)$  is CAT(0), geodesic contraction provides a deformation retraction of  $\bar{H}(X \cup CY) \setminus \bar{y}_i$  onto  $\bar{L}_i$ . The restriction of this to  $\bar{J}(X, Y)$  gives the desired retraction. q.e.d.

**Corollary 2.3.** *For each  $Y_i$ ,  $\pi_1(Y_i) \rightarrow \pi_1(J(X, Y))$  is injective.*

**Remark 2.4.** Lemma 2.2 provides a proof of the following theorem of Hausmann [3]. Suppose that a (not necessarily connected) closed manifold  $M$  is a boundary. Then  $M$  bounds a manifold  $N$  such that for

each path component  $M_i$  of  $M$ , the homomorphism  $\pi_1(M_i) \rightarrow \pi_1(N)$  is injective. Moreover,  $M_i \rightarrow N$  is a “pseudo covering projection” in the sense that each  $M_i$  is a retract of some covering space of  $N$ .

**Theorem 2.5.**  *$J(X, Y)$  is aspherical if and only if each component of  $Y$  is aspherical.*

In order to prove this, we need to introduce a space  $\tilde{H}(X \cup CY)$ , the “universal branched cover of  $\bar{H}(X \cup CY)$  along the cone points.” Let  $S$  denote the union of the set of cone points in  $\bar{H}(X \cup CY)$ . Then  $\bar{H}(X \cup CY) \setminus S$  is connected. Let  $Z$  be its universal cover. Define  $\tilde{H}(X \cup CY)$  to be the metric completion of  $Z$ . It is clear that  $\tilde{H}(X \cup CY)$  is formed by adjoining to  $Z$  a new cone point for each end of  $Z$  which corresponds to a copy of the inverse image of a  $\bar{L}_i$  in  $Z$ . Thus,  $\tilde{H}(X \cup CY)$  is homeomorphic to the universal cover of  $\bar{J}(X, Y)$  with each copy of the universal cover of  $\bar{L}_i$  coned off. In other words, the universal cover  $\tilde{J}(X, Y)$  of  $J(X, Y)$  can be identified with inverse image of  $\bar{J}(X, Y)$  in  $\tilde{H}(X \cup CY)$ .

**Lemma 2.6.**  *$\tilde{H}(X \cup CY)$  is CAT(0).*

*Proof.* Since  $\bar{H}(X \cup CY)$  is a piecewise Euclidean cubical cell complex, this same type of structure is induced on  $\tilde{H}(X \cup CY)$ . Moreover,  $\tilde{H}(X \cup CY)$  is simply connected. So, it suffices to show that  $\tilde{H}(X \cup CY)$  is locally CAT(0). This is clear except possibly in neighborhoods of the cone points. Here we need to show that the link of each cone point in  $\tilde{H}(X \cup CY)$  is CAT(1) (cf. [2, p. 120]). The link of such a cone point is the universal cover of the link of its image in  $\bar{H}(X \cup CY)$ . Since  $\bar{H}(X \cup CY)$  is CAT(0), the link of each of its cone points is CAT(1). Since any covering space of a CAT(1) piecewise spherical complex is also CAT(1), the cone points in  $\tilde{H}(X \cup CY)$  have CAT(1) links. The lemma follows. q.e.d.

*Proof of Theorem 2.5.* The “only if” part of this theorem follows immediately from Lemma 2.2. So, suppose each  $Y_i$  is aspherical. The link  $\tilde{L}_i$  of a cone point in  $\tilde{H}(X \cup CY)$  is the universal cover of  $Y_i$ ; hence, it is contractible. By Lemma 2.6,  $\tilde{H}(X \cup CY)$  is contractible. Since  $\tilde{H}(X \cup CY)$  is formed from  $\tilde{J}(X, Y)$  by attaching cones on the  $\tilde{L}_i$ , it follows that  $\tilde{J}(X, Y)$  is also contractible. Hence,  $J(X, Y)$  is aspherical (since  $\tilde{J}(X, Y)$  is a covering space of it). q.e.d.

We are now in position to prove Theorem 1.1 from the Introduction.

*Proof of Theorem 1.1.* Suppose  $M = \partial N$ . As in Remark 2.1,  $M$  is

also the boundary of the manifold  $J(N, M)$ . By Theorem 2.5,  $J(N, M)$  is aspherical. q.e.d.

**Remark 2.7.** Theorem 1.1 is valid for any bordism theory.

### 3. Another version

When  $(X, Y)$  is a manifold with boundary, the construction of the relative hyperbolization  $J(X, Y)$  is perfectly adequate. However, in more general situations it has a serious defect: it changes the local topology near  $Y$ . A regular neighborhood of  $Y$  in  $J(X, Y)$  is homeomorphic to  $Y \times [0, 1]$ . It would be preferable for this to be homeomorphic to the original regular neighborhood of  $Y$  in  $X$ . This can be achieved by the procedure of [1]. The details are explained below.

Replace  $X$  by its barycentric subdivision. Let  $R_i$  denote the first derived neighborhood of  $Y_i$  in  $X$ , let  $R_i^\circ$  be its relative interior and let  $\partial R_i = R_i \setminus R_i^\circ$ . Also, let  $R, R^\circ$  and  $\partial R$  denote the union of the  $R_i$ , the  $R_i^\circ$  and the  $\partial R_i$ , respectively. Set  $\widehat{X} = X \setminus R^\circ$ . Apply the construction of the previous section to the pair  $(\widehat{X}, \partial R)$  to obtain  $J(\widehat{X}, \partial R)$ . Our second version of relative hyperbolization, is the space  $K(X, Y)$  formed by gluing each  $R_i$  back onto  $J(\widehat{X}, \partial R)$  along  $\partial R_i$ . Next, we want to establish that Lemma 2.2 and Theorem 2.5 hold for  $K(X, Y)$ .

For the analog of Lemma 2.2 we need to define a covering space  $\overline{K}(X, Y)$  of  $K(X, Y)$  which retracts onto each  $R_i$ . If  $\partial R_i$  is connected, then  $\overline{K}(X, Y)$  is defined to be  $\overline{H}(\widehat{X} \cup C(\partial R))$  with a neighborhood of each cone point removed and replaced by a copy of the appropriate  $R_i$ . If the  $\partial R_i$  are not connected, then the definition of  $\overline{H}(\widehat{X} \cup C(\partial R))$  needs to be modified. For each path component  $Y_i$ , define a graph  $\Omega_i$ : it is the suspension of  $\pi_0(\partial R_i)$ . Denote the suspension points by  $v_i$  and  $x_i$ . Let  $\Omega$  be the wedge of the  $\Omega_i$  (i.e., identify the  $x_i$  to a common point  $x$ ). There is a continuous map  $K(X, Y) \rightarrow \Omega$  which collapses  $J(\widehat{X}, \partial R)$  to  $x$ , collapses  $Y_i$  to  $v_i$  and which takes each component of  $\partial R_i$  to the midpoint of the corresponding edge of  $\Omega_i$ . A map  $H(\widehat{X} \cup C(\partial R)) \rightarrow \Omega$  is defined in a similar fashion. Define a graph of groups on  $\Omega$  by putting the group  $\pi_1(H(\widehat{X} \cup C(\partial R)))$  on the vertex  $x$ , the trivial group on each of the other vertices and the trivial group on each edge. Let  $T$  be the universal cover of this graph of groups. ( $T$  is a tree.) The space  $\overline{H}(\widehat{X} \cup C(\partial R))$  is defined by gluing together copies of the universal cover of  $H(\widehat{X} \cup C(\partial R))$  in a pattern given by  $T$ . There is one such copy for

each vertex lying above  $x$ . Two copies are glued together at a common cone point whenever the corresponding vertices of  $T$  are each connected by an edge to a vertex lying over some  $v_i$ . So, the link of a cone point in  $\overline{H}(\widehat{X} \cup C(\partial R))$  is isomorphic to some  $\partial R_i$  (which need not be connected). This version of  $\overline{H}(\widehat{X} \cup C(\partial R))$  is clearly simply connected and CAT(0). Using the tree  $T$ , a covering space  $\overline{K}(X, Y)$  of  $K(X, Y)$  is defined in a similar fashion. Alternatively,  $\overline{K}(X, Y)$  is formed from  $\overline{H}(\widehat{X} \cup C(\partial R))$  by removing a neighborhood of each cone point and replacing it with a copy of the appropriate  $R_i$ .

**Lemma 3.1.**  $\overline{K}(X, Y)$  retracts onto  $R_i$ .

*Proof.* Fix a cone point  $\overline{y}_i$  in  $\overline{H}(\widehat{X} \cup C(\partial R))$  and identify  $\partial R_i$  with the link of  $\overline{y}_i$ . Let  $\overline{J}(\widehat{X}, \partial R)$  denote the inverse image of  $J(\widehat{X}, \partial R)$  in  $\overline{H}(\widehat{X} \cup C(\partial R))$ . As in the proof of Lemma 2.2, geodesic contraction from  $\overline{H}(\widehat{X} \cup C(\partial R))$  onto  $\overline{y}_i$ , induces a retraction of  $\overline{J}(\widehat{X}, \partial R)$  onto  $\partial R_i$ . Under this retraction each of the other boundary components is taken to  $\partial R_i$  by a map which is null-homotopic. Hence, we can extend it to a retraction  $\overline{K}(X, Y) \rightarrow R_i$  by mapping the copy of  $R_i$  corresponding to  $\overline{y}_i$  via the identity map and all other  $R_j$  inessentially. q.e.d.

For the analog of Theorem 2.5, we want to relate the universal covering space  $\widetilde{K}(X, Y)$  of  $K(X, Y)$  to a branched covering space  $\widetilde{H}(\widehat{X} \cup C(\partial R))$  of  $\overline{H}(\widehat{X} \cup C(\partial R))$ . To this end, we define a new graph of group structure on  $\Omega$ . The vertex group corresponding to  $x$  is  $\pi_1(J(\widehat{X}, \partial R))$ , the vertex group corresponding to  $v_i$  is  $\pi_1(R_i)$  and the edge group corresponding to an edge  $e$  of  $\Omega_i$  is the image of  $\pi_1(\partial R_{i,e})$  in  $\pi_1(R_i)$ , where  $\partial R_{i,e}$  denotes the component of  $\partial R_i$  corresponding to  $e$ . The inclusions of edge groups in vertex groups are the obvious ones. (By the previous lemma, the map from an edge group to the vertex group for  $x$  is an inclusion.) Let  $\widetilde{T}$  be the tree corresponding to this graph of groups. Let  $\widetilde{H}(\widehat{X} \cup C(\partial R))$  be the branched covering space of  $\overline{H}(\widehat{X} \cup C(\partial R))$  corresponding to  $\widetilde{T}$  and let  $\widetilde{K}(X, Y)$  be the covering space  $K(X, Y)$  corresponding to  $\widetilde{T}$ . Then  $\widetilde{H}(X \cup CY)$  and  $\widetilde{K}(X, Y)$  are simply connected. Moreover,  $\widetilde{K}(X, Y)$  can be constructed from  $\widetilde{H}(\widehat{X} \cup C(\partial R))$  by removing a neighborhood of each cone point and replacing it with a copy of the universal cover  $\widetilde{R}_i$  of the appropriate  $R_i$ .

**Theorem 3.2.**  $K(X, Y)$  is aspherical if and only if each component of  $Y$  is aspherical.

*Proof.* As before, the “only if” part follows from Lemma 3.1. As in the proof of Theorem 2.5,  $\widetilde{H}(\widehat{X} \cup C(\partial R))$  is simply connected and

locally CAT(0). Hence, it is contractible. Supposing each  $Y_i$  to be aspherical, we have that each  $\tilde{R}_i$  is contractible. Since  $\tilde{K}(X, Y)$  is formed from  $\tilde{H}(\hat{X} \cup C(\partial R))$  by replacing (contractible) neighborhoods of cone points by (contractible) copies of  $\tilde{R}_i$ ,  $\tilde{K}(X, Y)$  and  $\tilde{H}(\hat{X} \cup C(\partial R))$  are homotopy equivalent. So,  $\tilde{K}(X, Y)$  is contractible and hence,  $K(X, Y)$  is aspherical. q.e.d.

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